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NOTICE OF ALLOWANCE AND FEE(S) DUE

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02/01/2010

HICKMAN PALERMO TRUONG & BECKER, LLP 2055 GATEWAY PLACE SUITE 550 SAN JOSE, CA 95110

EXAMINER				
KIM, PAUL				
ART UNIT	PAPER NUMBER			
2160				

DATE MAILED: 02/01/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,498	10/30/2003	Sanjay Aiyagari	50325-0805	9591

TITLE OF INVENTION: ROLE-BASED ACCESS CONTROL ENFORCED BY FILESYSTEM OF AN OPERATING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	05/03/2010

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10/698,498	10/30/2003	Sanjay Aiyagari	50325-0805	9591
29989 75	90 02/01/2010		EXAM	INER
HICKMAN PALERMO TRUONG & BECKER, LLP			KIM, PAUL	
2055 GATEWAY	PLACE		ART UNIT	PAPER NUMBER
SUITE 550 SAN JOSE, CA 95	110		2169 DATE MAILED: 02/01/201	0

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 444 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 444 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/698,498	AIYAGARI ET AL.	
Notice of Allowability	Examiner	Art Unit	
	PAUL KIM	2169	
	PAUL KIIVI	2169	
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate com IGHTS. This application is	in this application. If not included munication will be mailed in due cours	se. THIS
1. X This communication is responsive to Notice of Appeal File	<u>d on 10 November 2009</u> .		
2. X The allowed claim(s) is/are <u>1, 2, 4, 7, 9-13, 16, 18-19, 39-4</u>	10, 42, 45, 47-51, 54, and	<u>56-57</u> .	
3. ☐ Acknowledgment is made of a claim for foreign priority un a) ☐ All b) ☐ Some* c) ☐ None of the:		l) or (f).	
 Certified copies of the priority documents have Certified copies of the priority documents have 		tion No	
3. ☐ Copies of the certified copies of the priority do	• •		rom the
International Bureau (PCT Rule 17.2(a)).	cuments have been recen	red in this hational stage application in	iom me
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* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		ile a reply complying with the requirer	nents
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			E OF
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.		
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Rev	ew (PTO-948) attached	
1) ☐ hereto or 2) ☐ to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			() of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			the
Attachment(s)			
1. Notice of References Cited (PTO-892)		Informal Patent Application	
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper N	Summary (PTO-413), o./Mail Date	
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 	7. 🛛 Examine	's Amendment/Comment	
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🗌 Examine	's Statement of Reasons for Allowand	се
or biological material	9.	<u></u> .	
	/Tony Mahm	oudi/	
	1 .	Patent Examiner, Art Unit 2169	
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Art Unit: 2169

Examiner's Amendment

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Daniel Ledesma on 28 January 2010.

2. The application has been amended as follows:

=====

- (currently amended) A computer-implemented method for controlling access to a
 resource of a plurality of resources, the method comprising the steps of:
 one or more processors creating and storing in a filesystem of an Operating System a
 plurality of files that each represents a different resource of the plurality of
 resources;
 - the one or more processors assigning an access value to a file attribute of a file that represents the resource, wherein the file attribute is used by the Operating System to manage file access, wherein the access value corresponds to a combination of a particular role and the resource;
 - the one or more processors receiving user-identifying information from a user requesting access to the resource, wherein the user-identifying information comprises a role associated with the user, wherein the role is determined from a user identifier

Art Unit: 2169

uniquely associated with the user and from a group identifier associated with a group that includes the user;

the one or more processors receiving a resource identifier associated with the resource; the one or more processors creating an access identifier based on the user-identifying information and the resource identifier, wherein the access identifier is formatted as a file attribute that is used by the Operating System to manage file access;

wherein the step of creating an access identifier based on the user-identifying information

and the resource identifier comprises formatting the access identifier as a group

identifier file attribute;

the one or more processors calling the Operating System to perform a file operation on the file, wherein calling the Operating System includes providing the access identifier to the Operating System; [[and]]

wherein the step of calling the Operating System to perform an operation on the file representing the resource comprises:

assigning the access identifier to a group identifier attribute of an Operating

System process; and

calling an Operating System routine from the Operating System process to

perform the operation on the file representing the resource;

Operating System call successfully performs the file operation, wherein the Operating System call successfully performs the file operation if the access identifier matches the access value:

Art Unit: 2169

wherein the file operation on the file representing the resource is selected from a group consisting of opening the file, closing the file, deleting the file, reading from the file, writing to the file, executing the file, appending to the file, reading a file attribute;

- reading a permission bit associated with the file representing the resource, wherein the permission bit corresponds to the operation performable on the file representing the resource;
- based on the operation on the file indicated by the permission bit, determining a resource operation that is performable on the resource; and
- when the permission bit allows the operation to be performed on the file

 representing the resource.
- 2. (original) A method as recited in Claim 1, wherein the access identifier comprises:
 - a first set of bits for storing a role identifier, wherein the role identifier is associated with the role; and
 - a second set of bits for storing the resource identifier.
- 3. (canceled)
- 4. (original) A method as recited in Claim 1, wherein the step of calling the Operating System to perform an operation on the file representing the resource comprises

Art Unit: 2169

comparing the access identifier to an identifier included in an Access Control List file attribute associated with the file representing the resource, wherein the Access Control List file attribute includes the identifiers of all users and all groups of users allowed to access the file representing the resource.

- 5. (canceled)
- 6. (canceled)
- 7. (previously presented) A method as recited in Claim 1, the method further comprising the steps of:

opening the file representing the resource;

reading from the file representing the resource a permission indicator associated with a resource operation; and

enabling the user to perform the resource operation on the resource only when the permission indicator indicates that the user is allowed to perform the resource operation on the resource.

- 8. (canceled)
- 9. (previously presented) A method as recited in Claim 1, wherein the file attribute used by the Operating System to manage file access is a group identifier file attribute.

Application/Control Number: 10/698,498

Art Unit: 2169

Page 6

- 10. (currently amended) A computer-implemented method for controlling access to a resource of a plurality of resources, the method comprising the steps of:
 - one or more processors assigning an access value to a group identifier file attribute of a file that represents the resource, wherein the group identifier file attribute is used by the Operating System to manage file access, wherein the access value is uniquely determined by a combination of a particular role and the resource;
 - the one or more processors receiving a user identifier from a user requesting access to the resource, wherein the user identifier is uniquely associated with the user;
 - the one or more processors receiving a group identifier associated with a group to which the user belongs;
 - the one or more processors based on the user identifier and the group identifier,

 determining a role associated with the user, wherein a role identifier is uniquely
 associated with the role;
 - the one or more processors receiving a resource identifier associated with the resource, wherein each resource of the plurality of resources is represented by a different file stored in a filesystem of an Operating System;
 - the one or more processors constructing an access identifier on the basis of the role identifier and the resource identifier, wherein the access identifier conforms to the format of a group identifier file attribute that is used by the Operating System to manage file access;

Art Unit: 2169

the one or more processors making an Operating System call to perform a file operation on the file representing the resource, wherein the Operating System call uses the access identifier to gain access to the file representing the resource; and the one or more processors granting the user access to the resource only when the Operating System call successfully performs the file operation on the file representing the resource, wherein the Operating System call successfully performs the file operation if the access identifier matches the access value; wherein the file operation on the file representing the resource is selected from a group consisting of opening the file, closing the file, deleting the file, reading from the file, writing to the file, executing the file, appending to the file, reading a file attribute; and writing a file attribute;

- reading a permission bit associated with the file representing the resource, wherein the permission bit corresponds to a file operation performable on the file representing the resource;
- based on the file operation indicated by the permission bit, determining a resource operation that is performable on the resource; and
- when the permission bit allows the file operation to be performed on the file representing the resource.
- 11. (original) A method as recited in Claim 10, wherein the access identifier comprises:

Art Unit: 2169

- a first set of bits for storing the role identifier, wherein the role identifier represents a bitmap, each bit of the bitmap uniquely associated with a role of the user; and a second set of bits for storing the resource identifier.
- 12. (previously presented) A method as recited in Claim 10, wherein the step of making an Operating System call to perform an operation on the file representing the resource comprises:
 - storing the group identifier value of a group identifier attribute of an Operating System process;
 - assigning the access identifier to the group identifier attribute of the Operating System process;
 - calling an Operating System routine from the Operating System process to perform the operation on the file representing the resource, wherein the operation on the file representing the resource is performed only when the value of the group identifier attribute of the Operating System process matches the value of the group identifier file attribute of the file representing the resource; and resetting the group identifier attribute of the Operating System process to the stored group identifier value.
- 13. (original) A method as recited in Claim 10, wherein the step of making an Operating System call to perform an operation on the file representing the resource comprises comparing the access identifier to an identifier included in an Access Control List file

Art Unit: 2169

attribute associated with the file representing the resource, wherein the Access Control List file attribute includes the identifiers of all users and all groups of users allowed to access the file representing the resource.

- 14. (canceled)
- 15. (canceled)
- 16. (previously presented) A method as recited in Claim 10, the method further comprising the steps of:

opening the file representing the resource;

reading from the file representing the resource a permission indicator associated with a resource operation; and

granting the user the privilege of performing the resource operation on the resource only when the permission indicator indicates that the user is allowed to perform the resource operation on the resource.

- 17. (canceled)
- 18. (currently amended) A system for controlling access to a resource, of a plurality of resources, connected to a network, the system comprising:

Art Unit: 2169

a client host capable of accessing the resource in response to a request for access from a user;

one or more processors executing an Operating System, wherein the Operating System operatively controls a filesystem that includes a number of files; and

a computer readable medium having stored therein an Application Programming

Interface, wherein the Application Programming Interface is logically interposed between the client host and the Operating System and comprises one or more routines including routines which, when executed by the one or more processors, cause the one or more processors to perform the steps of:

creating and storing in the filesystem a plurality of files that each represents a different resource of the plurality of resources;

assigning an access value to a file attribute of a file that represents the resource, wherein the file attribute is used by the Operating System to manage file access, wherein the access value corresponds to a combination of a particular role and the resource;

receiving user-identifying information from the user requesting access to the resource, wherein the user-identifying information comprises a role associated with the user, wherein the role is determined from a user identifier uniquely associated with the user and from a group identifier associated with a group that includes the user;

receiving a resource identifier associated with the resource;

Art Unit: 2169

creating an access identifier based on the user-identifying information and the resource identifier, wherein the access identifier is formatted as a file attribute that is used by the Operating System to manage file access;

- wherein the step of creating an access identifier based on the user-identifying

 information and the resource identifier comprises formatting the access
 identifier as a group identifier file attribute;
- calling the Operating System to perform a file operation on the file, wherein calling the Operating System includes providing the access identifier to the Operating System;
- the step of calling the Operating System to perform an operation on the file representing the resource comprises:

assigning the access identifier to a group identifier attribute of an

Operating System process, and

calling an Operating System routine from the Operating System process to

perform the operation on the file representing the resource; [[and]]

- granting the user access to the resource only when the Operating System call successfully performs the file operation, wherein the Operating System call successfully performs the file operation if the access identifier matches the access value;
- wherein the file operation on the file representing the resource is selected from a group consisting of opening the file, closing the file, deleting the file,

Art Unit: 2169

reading from the file, writing to the file, executing the file, appending to the file, reading a file attribute, and writing a file attribute;

reading a permission bit associated with the file representing the resource,

wherein the permission bit corresponds to a file operation performable on
the file representing the resource;

<u>based on the file operation indicated by the permission bit, determining a resource</u>

<u>operation that is performable on the resource; and</u>

granting the user the privilege of performing the resource operation on the

resource only when the permission bit allows the file operation to be
performed on the file representing the resource.

- 19. (original) A system as recited in Claim 18, wherein the access identifier comprises:
 - a first set of bits for storing a role identifier, wherein the role identifier is associated with the role; and
 - a second set of bits for storing the resource identifier.

20-38. (canceled)

39. (currently amended) A computer-readable storage medium, for controlling access to a resource of a plurality of resources, carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the steps of:

Art Unit: 2169

creating and storing in a filesystem of an Operating System a plurality of files that each represents a different resource of the plurality of resources;

assigning an access value to a file attribute of a file that represents the resource, wherein the file attribute is used by the Operating System to manage file access, wherein the access value corresponds to a combination of a particular role and the resource;

receiving user-identifying information from a user requesting access to the resource, wherein the user-identifying information comprises a role associated with the user, wherein the role is determined from a user identifier uniquely associated with the user and from a group identifier associated with a group that includes the user;

receiving a resource identifier associated with the resource;

creating an access identifier based on the user-identifying information and the resource identifier, wherein the access identifier is formatted as a file attribute that is used by the Operating System to manage file access;

wherein the step of creating an access identifier based on the user-identifying information

and the resource identifier comprises formatting the access identifier as a group

identifier file attribute;

calling the Operating System to perform a file operation on the file, wherein calling the Operating System includes providing the access identifier to the Operating System; and

Application/Control Number: 10/698,498

Art Unit: 2169

wherein the step of calling the Operating System to perform an operation on the file representing the resource comprises:

assigning the access identifier to a group identifier attribute of an Operating

System process, and

Page 14

calling an Operating System routine from the Operating System process to perform the operation on the file representing the resource;

- granting the user access to the resource only when the Operating System call successfully performs the file operation, wherein the Operating System call successfully performs the file operation if the access identifier matches the access value;
- wherein the file operation on the file representing the resource is selected from a group consisting of opening the file, closing the file, deleting the file, reading from the file, writing to the file, executing the file, appending to the file, reading a file attribute, and writing a file attribute;
- reading a permission bit associated with the file representing the resource, wherein the

 permission bit corresponds to a file operation performable on the file representing
 the resource;
- based on the file operation indicated by the permission bit, determining a resource operation that is performable on the resource; and
- when the permission bit allows the file operation to be performed on the file representing the resource.

Art Unit: 2169

40. (previously presented) A computer-readable storage medium as recited in Claim 39,

wherein the access identifier comprises:

a first set of bits for storing a role identifier, wherein the role identifier is associated with

the role; and

a second set of bits for storing the resource identifier.

41. (canceled)

42. (previously presented) A computer-readable storage medium as recited in Claim 39,

wherein the step of calling the Operating System to perform an operation on the file

representing the resource comprises comparing the access identifier to an identifier

included in an Access Control List file attribute associated with the file representing the

resource, wherein the Access Control List file attribute includes the identifiers of all users

and all groups of users allowed to access the file representing the resource.

43. (canceled)

44. (canceled)

45. (previously presented) A computer-readable storage medium as recited in Claim 39,

carrying one or more additional sequences of instructions which, when executed by one

or more processors, further causes the one or more processors to perform the steps of:

Art Unit: 2169

opening the file representing the resource;

reading from the file representing the resource a permission indicator associated with a resource operation; and

enabling the user to perform the resource operation on the resource only when the permission indicator indicates that the user is allowed to perform the resource operation on the resource.

- 46. (canceled)
- 47. (previously presented) A computer-readable storage medium as recited in Claim 39, wherein the file attribute used by the Operating System to manage file access is a group identifier file attribute.
- 48. (currently amended) A computer-readable storage medium, for controlling access to a resource of a plurality of resources, carrying one or more sequences of instructions which, when executed by one or more processors, causes the one or more processors to perform the steps of:

assigning an access value to a group identifier file attribute of a file that represents the resource, wherein the group identifier file attribute is used by the Operating System to manage file access, wherein the access value is uniquely determined by a combination of a particular role and the resource;

Application/Control Number: 10/698,498

Art Unit: 2169

Page 17

receiving a user identifier from a user requesting access to the resource, wherein the user identifier is uniquely associated with the user;

receiving a group identifier associated with a group to which the user belongs;

based on the user identifier and the group identifier, determining a role associated with the user, wherein a role identifier is uniquely associated with the role;

receiving a resource identifier associated with the resource, wherein each resource of the plurality of resources is represented by a different file stored in a filesystem of an Operating System;

identifier, wherein the access identifier conforms to the format of a group identifier file attribute that is used by the Operating System to manage file access; making an Operating System call to perform a file operation on the file representing the resource, wherein the Operating System call uses the access identifier to gain access to the file representing the resource; and

granting the user access to the resource only when the Operating System call successfully performs the file operation on the file representing the resource, wherein the Operating System call successfully performs the file operation if the access identifier matches the access value;

wherein the file operation on the file representing the resource is selected from a group consisting of opening the file, closing the file, deleting the file, reading from the file, writing to the file, executing the file, appending to the file, reading a file attribute, and writing a file attribute;

Art Unit: 2169

reading a permission bit associated with the file representing the resource, wherein the

permission bit corresponds to a file operation performable on the file representing

the resource;

based on the file operation indicated by the permission bit, determining a resource operation that is performable on the resource; and

when the permission bit allows the file operation to be performed on the file representing the resource.

- 49. (previously presented) A computer-readable storage medium as recited in Claim 48, wherein the access identifier comprises:
 - a first set of bits for storing the role identifier, wherein the role identifier represents a bitmap, each bit of the bitmap uniquely associated with a role of the user; and a second set of bits for storing the resource identifier.
- 50. (previously presented) A computer-readable storage medium as recited in Claim 48, wherein the step of making an Operating System call to perform an operation on the file representing the resource comprises:

storing the group identifier value of a group identifier attribute of an Operating System process;

assigning the access identifier to the group identifier attribute of the Operating System process;

Art Unit: 2169

calling an Operating System routine from the Operating System process to perform the operation on the file representing the resource, wherein the operation on the file representing the resource is performed only when the value of the group identifier attribute of the Operating System process matches the value of the group identifier file attribute of the file representing the resource; and resetting the group identifier attribute of the Operating System process to the stored group identifier value.

- 51. (previously presented) A computer-readable storage medium as recited in Claim 48, wherein the step of making an Operating System call to perform an operation on the file representing the resource comprises comparing the access identifier to an identifier included in an Access Control List file attribute associated with the file representing the resource, wherein the Access Control List file attribute includes the identifiers of all users and all groups of users allowed to access the file representing the resource.
- 52. (canceled)
- 53. (canceled)
- 54. (previously presented) A computer-readable storage medium as recited in Claim 48, carrying one or more additional sequences of instructions which, when executed by one or more processors, further causes the one or more processors to perform the steps of:

Art Unit: 2169

opening the file representing the resource;

reading from the file representing the resource a permission indicator associated with a resource operation; and

granting the user the privilege of performing the resource operation on the resource only when the permission indicator indicates that the user is allowed to perform the resource operation on the resource.

55. (canceled)

56. (currently amended) An apparatus for controlling access to a resource of a plurality of resources, comprising:

means for creating and storing in an Operating System filesystem a plurality of files that each represents a different resource of the plurality of resources;

means for assigning an access value to a file attribute of a file that represents the resource, wherein the file attribute is used by the Operating System to manage file access, wherein the access value corresponds to a combination of a particular role and the resource;

means for receiving user-identifying information from a user requesting access to the resource, wherein the user-identifying information comprises a role associated with the user, wherein the role is determined from a user identifier uniquely associated with the user and from a group identifier associated with a group that includes the user;

means for receiving a resource identifier associated with the resource;

means for creating an access identifier based on the user-identifying information and the resource identifier, wherein the access identifier is formatted as a file attribute that is used by the Operating System to manage file access;

- wherein means for creating an access identifier based on the user-identifying information
 and the resource identifier comprises means for formatting the access identifier as
 a group identifier file attribute;
- means for calling the Operating System to perform a file operation on the file, wherein calling the Operating System includes providing the access identifier to the Operating System; [[and]]
- wherein means for calling the Operating System to perform an operation on the file representing the resource comprises:
 - means for assigning the access identifier to a group identifier attribute of an

 Operating System process, and

means for calling an Operating System routine from the Operating System

process to perform the operation on the file representing the resource;

means for granting the user access to the resource only when the Operating System call successfully performs the file operation, wherein the Operating System call successfully performs the file operation if the access identifier matches the access value;

wherein the file operation on the file representing the resource is selected from a group consisting of opening the file, closing the file, deleting the file, reading from the

file, writing to the file, executing the file, appending to the file, reading a file attribute, and writing a file attribute:

- means for reading a permission bit associated with the file representing the resource,

 wherein the permission bit corresponds to a file operation performable on the file

 representing the resource;
- means for determining, based on the file operation indicated by the permission bit, a resource operation that is performable on the resource; and
- means for granting the user the privilege of performing the resource operation on the

 resource only when the permission bit allows the file operation to be performed
 on the file representing the resource.
- 57. (previously presented) An apparatus as recited in Claim 56, wherein the access identifier comprises:
 - a first set of bits for storing a role identifier, wherein the role identifier is associated with the role; and
 - a second set of bits for storing the resource identifier.
- 58. (canceled)
- 59. (canceled)